

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**PRELIMINARY AMENDMENT  
TRANSMITTAL LETTER**

Docket Number  
**11957/62**

Application Number  
**10/765,236**

Filing Date  
**January 26, 2004**

Examiner  
**To be assigned**

Art Unit  
**1614**

Invention Title  
**COMPOSITION AND METHOD FOR  
LOWERING RISK FACTORS OF  
CARDIOVASCULAR DISEAS**

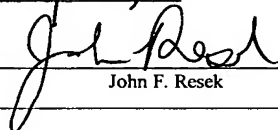
Inventor(s)  
**Matthias RATH**

Address to:  
**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: **July 28, 2004**

Reg. No. 52,162

Signature:   
John F. Resek

Transmitted herewith is a Preliminary Amendment for entry in the above-identified application:


1. The filing fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL Y PAID FOR	PRESENT NUMBER EXTRA	RATE (\$)	FEE (\$)
TOTAL CLAIMS	32	minus	27	5	18.00	90.00
INDEPENDENT CLAIMS	5	minus	4	1	86.00	86.00
MULTIPLE DEPENDENT CLAIM ADDED					145.00	
TOTAL						<b>\$176.00</b>

2. Please charge the required small-entity additional claims fee of **\$176.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.

3. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
- A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional document supply fees under 37 C.F.R. § 1.19;
  - D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
4. A duplicate copy of this letter is enclosed.

Dated: July 28, 2004

By:   
John F. Resek  
Reg. No. 52,162

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**CUSTOMER NO. 26646**



IFW  
\$

Attorney Docket: 11957/62

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor :     Mattias RATH	Art Unit :     1614
Serial No.:     10/765,236	Examiner :     Not yet Assigned
Filing Date:     January 26, 2004	

For:     **COMPOSITIONS AND METHODS FOR LOWERING PLASMA  
LIPOPROTEIN (a) AND RISK FACTORS OF CARDIOVASCULAR DISEASE**

Mail Stop Amendment  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

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on

Date: July 28, 2004

Signature: Chandra Seemany

**PRELIMINARY AMENDMENT**

S I R:

Applicant submits herewith the Preliminary Amendment for the above-identified application. Allowance of all pending claims is hereby respectfully requested.

Prior to examination, please amend the above-identified divisional application as set forth below:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 5 of this paper.

08/03/2004 MBELETE1 00000033 110600 10765236

01 FC:1201     86.00 DA  
02 FC:1202     90.00 DA